SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OWNERSHIP	

Section obligat	this box if no n 16. Form 4 c ions may cont tion 1(b).		STA		ed purs	suant	to Secti	on 16(a	a) of the	Secu	rities Exchar	nge Act of		SHIP	Estin		verage burde sponse:	0.5		
1. Name and Address of Reporting Person [*] Hackworth Bryan M						2. Issuer Name and Ticker or Trading Symbol UNIVERSAL ELECTRONICS INC UEIC								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Cofficer (give title Other (specify						
(Last)(First)(Middle)15147 NORTH SCOTTSDALE ROADH300					05/	3. Date of Earliest Transaction (Month/Day/Year) 05/12/2021								SVP and CFO						
(Street) SCOTTS (City)	SDALE A							4. If Amendment, Date of Original Filed (Month/Day/Year)								 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 				
		-		on-Deri	vative	e Se	curitie	es Ac	quired	d, Di	sposed o	of, or Be	eneficia	lly Owne	d					
1. Title of Security (Instr. 3) 2. Transact Date (Month/Day					ion 2A. Deemed Execution Date,			3. 4. Securities Acquired (A) o Transaction Code (Instr.				5. Amount of		Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)				
									Code	v	Amount	(A) or (D)	Price	Transac (Instr. 3	ction(s)			(1150.4)		
Common Stock 05/12				05/12	/2021				М		542	A	(1)	48	48,599		D			
Common Stock 05/12/2				/2021	.021					285(2)	D	\$47.0	7 ⁽³⁾ 48	8,314		D				
Common Stock 05/13/2				/2021	.021			М		924 A		(1)	49,238		D					
Common Stock 05/13/20					/2021				F		486 ⁽²⁾	D	\$46.6	2 ⁽³⁾ 48	³⁾ 48,752		D			
		Т	able II								posed of converti			y Owned						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		if any		4. Transa	4. Transaction Code (Instr.		5. Number n of		-	sable and te	7. Title an Amount of Securities Underlyin Derivative (Instr. 3 a	nd of s ig e Security	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securitie Beneficia Owned Following Reported Transacti (Instr. 4)	e s ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		
					Code	v	(A)	(D)	Date Exercisa	able	Expiration Date	Title	Amount or Number of Shares							
Restricted Stock Units	(1)	05/12/2021			М			542	(4)		(4)	Common Stock	542	\$0.00	12,53	35	D			

Restricted Stock (1) 05/13/2021 924 (4) (4) Μ Units Employee Stock Option (5) (6) (6) (Rt. To . Buy)

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of UEI common stock.

2. This transaction represents a withholding of shares to cover taxes applicable to a vesting of RSUs also reported on this Form 4.

3. Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.

4. The restricted stock units vest in accordance with the vesting schedule of each RSU Grant.

5. Exercise Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.

6. The Exercisable and Expiration Dates were reported at the time the Stock Options were granted.

7. This figure represents an aggregate number of stock options held by Reporting Person.

Remarks:

/s/Bryan M. Hackworth, by Richard A. Firehammer, Jr., pursuant to Limited Power of 05/14/2021 Attorney dated August 5, 2006 (attached)

** Signature of Reporting Person Date

Common

Stock

Common

Stock

924

0

\$<mark>0.00</mark>

11,611

140,390⁽⁷⁾

D

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.