# **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 8-K/A

(Amendment No. 1)

**CURRENT REPORT** Pursuant to Section 13 OR 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): June 6, 2023

# UNIVERSAL ELECTRONICS INC.

(Exact name of Registrant as specified in its charter)

Delaware (State or other jurisdiction of incorporation)

0-21044 (Commission File No.)

33-0204817 (I.R.S. Employer Identification No.)

15147 N. Scottsdale Road, Suite H300, Scottsdale, Arizona 85254-2494 (Address of principal executive offices and zip code)

> (480) 530-3000 (Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:
☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
□ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
□ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
Securities registered pursuant to Section 12(b) of the Act

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common Stock, par value \$0.01 per share	UEIC	The NASDAQ Stock Market LLC

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company  $\square$ 

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.  $\Box$ 

#### **Explanatory Note**

This Current Report on Form 8-K/A (the "Amendment") updates Item 5.07 of the Current Report on Form 8-K filed by Universal Electronics Inc. (the "Company") with the U.S. Securities and Exchange Commission on June 8, 2023 (the "Original Filing"). The Original Filing reported the final voting results from the Company's 2023 annual meeting of stockholders that was held on June 6, 2023 ("2023 Annual Meeting"). The sole purpose of this Amendment is to disclose the Company's decision regarding how frequently it will conduct future stockholder advisory votes on named executive officer compensation. Except for the foregoing purpose, this Amendment does not modify or update any other disclosure in the Original Filing, and this Amendment should be read in conjunction with the Original Filing.

#### Item 5.07 Submission of Matters to a Vote of Security Holders.

In the Original Filing, the Company reported that a significant majority of the votes cast by stockholders at the 2023 Annual Meeting recommended that the advisory vote on named executive compensation occur every year. On August 24, 2023, after taking into consideration the stockholder recommendation, the Board of Directors of the Company determined that the Company will conduct the stockholder advisory vote on named executive officer compensation on an annual basis at least until the next stockholder vote on the frequency of such votes is held, which is expected to be no later than the Company's annual meeting of stockholders in 2029.

### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Universal Electronics Inc.

Date: August 31, 2023 By: /s/ Bryan Hackworth

Bryan Hackworth Chief Financial Officer (Principal Financial Officer)