SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

11,200

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Hackworth Bryan M				2. Issuer Name and Ticker or Trading Symbol UNIVERSAL ELECTRONICS INC UEIC]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title Other (specify			
(Last) (First) (Middle) 15147 N SCOTTSDALE RD STE H300				3. Date of Earliest Transaction (Month/Day/Year) 08/09/2024						below) below) SVP and CFO			
(Street) SCOTTSDALE AZ 85254			4	4. If Amendment, Date of Original Filed (Month/Day/Year)						 6. Individual or Joint/Group Filing (Check Applicable Line) ✓ Form filed by One Reporting Person Form filed by More than One Reporting Person 			
(City)	(State)	(Zip) Table I - I	[Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. ivative Securities Acquired, Disposed of, or Beneficially Owned									
1. Title of Security	Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
					Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock			08/09/2024		м		1,346	A	(1)	61,637	I ⁽²⁾	Hackworth Family Trust	
Common Stock			08/09/2024		F		710 ⁽³⁾	D	\$10.105(4)	60,927	I ⁽²⁾	Hackworth Family Trust	
Common Stock			08/10/2024		м		723	A	(1)	61,650	I ⁽²⁾	Hackworth Family Trust	
Common Stock			08/10/2024		F		381(3)	D	\$10.105(4)	61,269	I ⁽²⁾	Hackworth Family Trust	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 11. Nature 10 Conversion Execution Date, Transaction derivative Ownership Derivative Date of Expiration Date Amount of Derivative of Indirect Derivative Securities Security (Instr. 3) or Exercise Price of (Month/Dav/Year) if any (Month/Day/Year) Code (Instr. 8) (Month/Day/Year) Securities Security (Instr. 5) Securities Beneficially Form: Direct (D) Reneficial Underlying Derivative Security Ownership Acquired (A) or Disposed of (D) (Instr. 3, 4 Owned Following Reported Transaction(s) Derivative Security (Instr. 4) or Indirect (I) (Instr. 4) (Instr. 3 and 4) (Instr. 4) and 5) Amount or Numbe Expiration Date Date ٧ (A) (D) Exercisable Title Shares Code Restricted Commor (1) 08/09/2024 (5) (5) 1 3 4 6 38 244 D Μ 1.346 **\$**0 Stock Units Stock Restricted Common Stock (1)(5) (5) 08/10/2024 Μ 723 723 \$<mark>0</mark> 37,521 D Stock Units Employee Stock Common Stock (7) 136,800⁽⁸⁾ (6) (7) 0 D Option (Rt to Buy) Performance Commor 27,999⁽¹¹⁾ (9) (10) (10) 0 D Stock Units Stock

Explanation of Responses:

Common Stock

1. Each restricted stock unit represents a contingent right to receive one share of UEI common stock.

2. These shares are held in the Hackworth Living Trust. Mr. Hackworth disclaims beneficial ownership of the shares.

3. This transaction represents a withholding of shares to cover taxes applicable to a vesting of RSUs also reported on this Form 4.

4. Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.

5. The restricted stock units vest in accordance with the vesting schedule of each RSU grant.

6. Exercise Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.

7. The Exercisable and Expiration Dates were reported at the time the Stock Options were granted.

8. This figure represents an aggregate number of stock options held by Reporting Person.

9. Each performance stock unit represents a contingent right to receive one share of UEI common stock.

10. The performance stock units vest in accordance with the vesting schedule of each PSU grant.

11. This figure represents an aggregate number of performance stock units held by Reporting Person.

Remarks:

/s/Bryan M. Hackworth, by Bryan Allison, pursuant to Limited Power of Attorney dated May 8, 2024 ** Signature of Reporting Person

08/13/2024

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.