FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden hours per response:

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  Miketo Joseph  (Last) (First) (Middle)  15147 N. SCOTTSDALE ROAD |   |   |   |       | <u>U1</u><br>]                          | 2. Issuer Name and Ticker or Trading Symbol UNIVERSAL ELECTRONICS INC  UEIC  3. Date of Earliest Transaction (Month/Day/Year) 01/07/2021 |      |                                   |  |  |                     |  |  | Relationship of Reporting Person(s) to Issuer Check all applicable)  Director 10% Owner  X Officer (give title Other (specify below)  Sr. VP Operations |  |                                      |   |                                       |  |
|--|---|---|---|-------|---|--|------|-----------------------------------|--|--|---------------------|--|--|---|--|--------------------------------------|---|---------------------------------------|--|
| (Street) SCOTTSDALE AZ 85254  (City) (State) (Zip)   |   |   |   |       | -                                       | If Amendment, Date of Original Filed (Month/Day/Year)  tive Securities Acquired, Disposed of, or Benefice                                |      |                                   |  |  |                     |  |  |   | 6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One Reporting Person |                                      |   |                                       |  |
| 1. Title of Security (Instr. 3)  2. Trans Date (Month/   |   |   |   | ction | 2A.<br>Exe                              | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year)  |      | 3.<br>Transaction<br>Code (Instr. |  | 4. Securities Acquired (A)<br>Disposed Of (D) (Instr. 3, 4 |                     | d (A) or   | 5. An<br>Secu<br>Bene                  | nount of<br>rities<br>ficially<br>ed Following  | Forn<br>(D) c  | m: Direct<br>or Indirect<br>nstr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                                       |  |
|  |   |   |   |       |   |  |      |                                   | Code   | v  | Amount              | (A) or<br>(D)  | Price                                  | Trans   | action(s)<br>. 3 and 4)  |                                      |   | (111501.4)                            |  |
| Common Stock 01/07/2   |   |   |   |       | /2021                                   | 2021   |      |                                   | M  |  | 1,169               | A  | (1)                                    |   | 3,282  |                                      | D   |                                       |  |
| Common Stock 01/07/2   |   |   |   | /2021 | 2021                                    |  |      |                                   |  | 578(2)   | D                   | \$55.6   | 5(3)                                   | 2,704   |  | D                                    |   |                                       |  |
|  |   | Т   | able II                                     |       |   |  |      |                                   |  |  | osed of<br>converti |  |  | y Owne  | d  |                                      |   |                                       |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year | 3A. Deer<br>Execution<br>if any<br>(Month/I |       | 4.<br>Transaction<br>Code (Instr.<br>8) |  | ı of |                                   | 6. Date Exercis<br>Expiration Dat<br>(Month/Day/Ye |  | te                  | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of Derivative Security (Instr. 5)  |  | e<br>s<br>illy                       | Ownershi<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4   | Beneficial<br>Ownership<br>(Instr. 4) |  |
|  |   |   |   |       | Code                                    | v  | (A)  | (D)                               | Date<br>Exercisa                                   |  | Expiration<br>Date  | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |  |                                      |   |                                       |  |
| Restricted<br>Stock  | (1)   | 01/07/2021                                |   |       | M                                       |  |      | 1,169                             | (4)  |  | (4)                 | Common<br>Stock  | 1,169                                  | \$0.00  | 9,07-  | 4                                    | D   |                                       |  |

## **Explanation of Responses:**

- 1. Each restricted stock unit represents a contingent right to receive one share of UEI common stock.
- 2. This transaction represents a withholding of shares to cover taxes applicable to a vesting of RSUs also reported on this Form 4.
- 3. Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.
- 4. The Reporting Person received a Restricted Stock Unit Grant of 3,506 RSU's on January 7, 2019, that vests over a 3 year ratable annual vesting schedule which began on January 7, 2020.

## Remarks:

/s/Joseph Miketo by Valerie J. Ballard pursuant to Limited Power of Attorney dated January 22, 2020 (attached)

01/08/2021

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.