SEC Form 4

Common Stock

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1. Title of Derivative Security (Instr. 3)

Restricted Stock Units

Restricted

Stock Units Employee Stock

Option (Rt to Buy)

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

				washir	igton, D	.C. 20	1549				OMB APPR	OVAL	
Section 16. Form 4 or Form 5 obligations may continue. See				DF CHANGE uant to Section 16(a Section 30(h) of the) of the	Secur	ities Exchange		Est	B Number: mated average bure rs per response:	3235-0287 den 0.5		
contract, instruct	made pursuant to a ion or written plan for sale of equity issuer that is intender mative defense												
1. Name and Address of Reporting Person [*] <u>ARLING PAUL D</u>				2. Issuer Name and Ticker or Trading Symbol UNIVERSAL ELECTRONICS INC						ationship of Report (all applicable) Director	0 ()	g Person(s) to Issuer 10% Owner	
(Last) 15147 N SCOT	(First) FSDALE RD ST	(Middle) TE H300		3. Date of Earliest Transaction (Month/Day/Year) 11/09/2024						Officer (give title Other (specify below) CEO			
(Street) SCOTTSDALE (City)	AZ (State)	85254 (Zip)	4. lf /	4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Inc Line)						 ividual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person 			
		Table I - No	on-Derivative	Securities Acc	quired	l, Dis	sposed of,	or Be	neficially	Owned			
		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code	v	Amount	(D)	FILE	(Instr. 3 and 4)		By	

М

F

М

F

4,711

1,971(3)

2,532

1,059(3)

(1)

\$10.25⁽⁴⁾

(1)

\$10.25⁽⁴⁾

Α

D

A

D

Common

Stock

Common Stock

0

0

(7)

(10)

284,751

282,780

285,312

284,253

11,800

1,000

11/09/2024

11/09/2024

11/10/2024

11/10/2024

Arling

Family Trust By Arling

Family Trust By Arling

Family Trust By Arling

Family Trust

By

Spouse

11. Nature of Indirect Beneficial Ownership (Instr. 4)

I(2)

I⁽²⁾

I⁽²⁾

I⁽²⁾

D

I

10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)

D

D

D

D

418,830⁽⁸⁾

36,000⁽¹¹⁾

Explanation of Responses:									
Performance Stock Units	(9)								

(6)

1. Each restricted stock unit represents a contingent right to receive one share of UEI common stock.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)													
2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Date, Transactio Code (Inst				6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
(1)	11/09/2024		М			4,711	(5)	(5)	Common Stock	4,711	\$ <mark>0</mark>	65,635	
(1)	11/10/2024		М			2,532	(5)	(5)	Common Stock	2,532	\$0	63,103	

(7)

(10)

- 2. These shares are held by the Arling Family Trust. Mr. Arling disclaims beneficial ownership of the shares held by the Trust.
- 3. This transaction represents a withholding of shares to cover taxes applicable to a vesting of RSUs also reported on this Form 4.
- 4. Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.
- 5. The restricted stock units vest in accordance with the vesting schedule of each RSU grant.
- 6. Exercise Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.
- 7. The Exercisable and Expiration Dates were reported at the time the Stock Options were granted.
- 8. This figure represents an aggregate number of stock options held by Reporting Person.
- 9. Each performance stock unit represents a contingent right to receive one share of UEI common stock.
- 10. The performance stock units vest in accordance with the vesting schedule of each PSU grant.
- 11. This figure represents an aggregate number of performance stock units held by Reporting Person.

Remarks:

/s/Paul D. Arling, by Bryan Allison, pursuant to Limited Power of Attorney dated May

7,2024

11/12/2024

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.