SEC Form 4
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## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number:

3235-0287

Section obligat	this box if no lo n 16. Form 4 or tions may contin ction 1(b).		ST/		ed pursi	uant t	o Secti	on 16(a	a) of the	Secu	INEFIC	nge Act of		RSH	liP	Estim		er: verage burde sponse:	3235-0287 en 0.5
1. Name and Address of Reporting Person* Hackworth Bryan M							2. Issuer Name and Ticker or Trading Symbol <u>UNIVERSAL ELECTRONICS INC</u> [ UEIC ]									of Reportir cable) or · (give title			suer wner specify
(Last) (First) (Middle) 15147 NORTH SCOTTSDALE ROAD H300						0ate o 11/20		st Tran	saction (	Mont	h/Day/Year)		X Officer (give title Other (specify below) below) SVP and CFO						
(Street) SCOTTSDALE AZ 85254 (City) (State) (Zip)						4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line)   X Form filed by One Reporting Person   Form filed by More than One Reporting Person													on
		Tab	e I - N	on-Deriv	/ative	Sec	curitie	es Ac	quirec	l, Di	sposed	of, or B	enefici	ially	Owned	d			
1. Title of Security (Instr. 3) Date (Month/Day/						Execution Date,			3. Transa Code ( 8)			es Acquire Of (D) (Ins		d 5)	5. Amou Securiti Benefici Owned I Reporte	es Formally (D) Following (I) (I		n: Direct or Indirect Instr. 4)	7. Nature of Indirect Beneficial Ownership
						Code	v	Amount	(A) or (D) Pric			Transac (Instr. 3	tion(s)			(Instr. 4)			
Common Stock 11/11/2						)22			М		421	A	(1	)	52,787			D	
Common Stock 11/11/2						022			F		221 <sup>(2)</sup>	D	\$21.8	89 <sup>(3)</sup>	52	2,566		D	
Common Stock 11/12/20						022			М		541	A	(1	)	53,107			D	
Common Stock 11/12/20						)22			F		284 <sup>(2)</sup> I		\$21.8	89 <sup>(3)</sup>	) 52,823			D	
		т	able II								posed of				wned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	(C-9-, 3A. Deemed Execution Date, if any (Month/Day/Year		4. Transa Code (I 8)	ction				Exerci on Da	sable and te	7. Title and Amount of Securities Underlying Derivative Securi (Instr. 3 and 4)		8. De Se (In	Price of erivative ecurity estr. 5)	9. Numbe derivative Securities Beneficial Owned Following Reported Transactie (Instr. 4)	e S Ily I	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisa	able	Expiration Date	Title	Amour or Numbe of Shares	er					
Restricted Stock Units	(1)	11/11/2022			М			421	(4)		(4)	Common Stock	421		\$0.00	11,860	6	D	
Restricted Stock Units	(1)	11/12/2022			М			541	(4)		(4)	Common Stock	541		\$0.00	11,32	5	D	
Employee Stock Option	(5)								(6)		(6)	Common	0			150,470	) <sup>(7)</sup>	D	

## **Explanation of Responses:**

1. Each restricted stock unit represents a contingent right to receive one share of UEI common stock.

2. This transaction represents a withholding of shares to cover taxes applicable to a vesting of RSUs also reported on this Form 4.

3. Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.

4. The restricted stock units vest in accordance with the vesting schedule of each RSU Grant.

5. Exercise Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.

6. The Exercisable and Expiration Dates were reported at the time the Stock Options were granted.

7. This figure represents an aggregate number of stock options held by Reporting Person.

**Remarks:** 

(Rt. To . Buy)

> /s/Bryan M. Hackworth, by Richard A. Firehammer, Jr., pursuant to Limited Power of 11/14/2022 Attorney dated August 5, 2006 (attached)

150,470<sup>(7)</sup>

\*\* Signature of Reporting Person Date

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\star$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.