SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*	2. Issuer Name **and** Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
VOGEL CARL E	UNIVERSAL ELECTRONICS INC [UEIC]	X Director 10% Owner

(Last) (First) (Middle)

78 GLENMOOR DRIVE

(Street)

CHERRY HILLS VILLAGE CO 80113-7116

(City) (State) (Zip)

3. Date of Earliest Transaction (Month/Day/Year)
12/21/2020

4. If Amendment, Date of Original Filed (Month/Day/Year)

Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing (Check Applicable Line)
X Form filed by One Reporting Person
  Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	12/21/2020[1][2]		G	V	37,500[1][2]	D	$0.00	0	I	By Safe Harbour Capital Partners, LLC[1][2]
Common Stock	12/21/2020		G	V	37,500[1][2]	A	$0.00	37,500	I	By Trust[1][2]
Common Stock								5,000[1]	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. On November 30, 2020, the Reporting Person transferred 37,500 directly owned shares for no consideration to Safe Harbor Capital Partners, LLC (the "LLC") in a transaction exempt from reporting under Rule 16a-13.

2. On December 21, 2020, the Reporting Person gifted 99% of the LLC member interest to Safe Harbour Capital Partners Irrevocable Trust (the "Trust"), of which the Reporting Person's spouse is the trustee and beneficiary. The Reporting Person retains 1% of the LLC member interest and is the LLC's managing member. The Reporting Person disclaims beneficial ownership of the Trust's shares.

Remarks:

/s/Carl E. Vogel, by Richard A. Firehammer, Jr., pursuant to Limited Power of Attorney dated November 6, 2009 (attached)   12/23/2020

** Signature of Reporting Person   Date