| SEC Form 4 |  |
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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

| OMB Number:          | 3235-0287 |
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| Filed pursuant to Se | ection 16(a) of the | Securities Ex | xchange Act o | f 1934 |
|----------------------|---------------------|---------------|---------------|--------|
| or Section 30        | D(h) of the Investm | ent Compan    | y Act of 1940 |        |

| 1. Name and Address of Reporting Person <sup>*</sup><br>Hamilton Sue Ann                  |   |            |  |        |   | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>UNIVERSAL ELECTRONICS INC</u> [ UEIC<br>] |                        |  |                                       |        |   |  |   | (Check all appl<br>X Direct  |   | licable)<br>tor   |   | erson(s) to Issuer<br>10% Owner<br>Other (specify                        |                                       |  |
|---|---|------------|--|--------|---|--|------------------------|--|---------------------------------------|--------|---|--|---|--|---|---|---|--|---------------------------------------|--|
| (Last) (First) (Middle)<br>15147 N SCOTTSDALE ROAD  |   |            |  |        |   | 3. Date of Earliest Transaction (Month/Day/Year)<br>07/01/2023                                     |                        |  |                                       |        |   |  |   |  | below)  | (give title   |   | below)   | specity                               |  |
| SUITE H300  |   |            |  |        | 4. If                                   | 4. If Amendment, Date of Original Filed (Month/Day/Year)   |                        |  |                                       |        |   |  |   | 6. Individual or Joint/Group Filing (Check Applicable<br>Line)<br>X Form filed by One Reporting Person |   |   |   |  |                                       |  |
| (Street)<br>SCOTTS  | SDALE   | AZ         | 85254  |        |   |  |                        |  |                                       |        |   |  |   | A  | Form filed by More than<br>Person                                 |   |   | •  |                                       |  |
| (City) (State) (Zip)  |   |            |  |        |   |  | Transaction Indication |  |                                       |        |   |  |   |  |   |   |   |  |                                       |  |
|   |   |            |  |        |   | satis  | fy the aff             | irmative   | defense cor                           | nditio | ns of Rule 1                            | L0b5-1(c).   | See Instru  | ction 1  | 0.  |   | i pian u  |  |                                       |  |
| Table I - Non-Deriva   1. Title of Security (Instr. 3) 2. Transac<br>Date<br>(Month/Date) |   |            |  | action | ction 2A. Deemed Execution Date,        |  |                        | 3.<br>Transaction<br>Code (Instr.<br>8) 4. Securities Acquired (A)<br>Disposed Of (D) (Instr. 3,<br>5) |                                       |        | ired (A) o                              | a) or<br>4 and<br>5. Amount of<br>Securities<br>Beneficially<br>Owned Followin |   |  | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) |   | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |  |                                       |  |
|   |   |            |  |        |   |  |                        |  | Code                                  | v      | Amount                                  | Amount (A) or (D)  |   | e  | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                    |   |   |  | (Instr. 4)                            |  |
| Common Stock  |   |            | 07/03  | /2023  |   | М  |                        | 1,25   | 0 A                                   | . (    | ) 1{                                    |  | 8,333   |  | <b>I</b> <sup>(2)</sup>   | See<br>Footnote<br>#2   |   |  |                                       |  |
|   |   | -          | Table II -                                     |        |   |  |                        |  | uired, Di<br>, option                 |        |   |  |   |  | wned  |   |   |  |                                       |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                                       | 2.<br>Conversic<br>or Exercis<br>Price of<br>Derivative<br>Security |            | 3A. Deeme<br>Execution<br>if any<br>(Month/Day | Date,  | 1.<br>Transaction<br>Code (Instr.<br>3) |  | n Derivative           |  | 6. Date Ex<br>Expiratior<br>(Month/Da | n Dat  | te Amou<br>ear) Secur<br>Unde<br>Deriva |  | Fitle and<br>lount of<br>curities<br>derlying<br>rivative Security<br>str. 3 and 4) |  | Price of<br>erivative<br>ecurity<br>Istr. 5)                      | 9. Number<br>derivative<br>Securities<br>Beneficial<br>Owned<br>Following<br>Reported<br>Transactio | e<br>S<br>Ily<br>I                                  | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |  |
|   |   |            |  |        | Code                                    | v  |                        |  |                                       |        | Expiration<br>Date                      | Title  | Amour<br>or<br>Numbe<br>of<br>Shares  | er   |   | (Instr. 4)  | unisi   |  |                                       |  |
| Restricted<br>Stock<br>Units  | (1)   | 07/01/2023 |  |        | М                                       |  |                        | 1,250  | (3)                                   |        | (3)                                     | Common Stock 1   |   | )  | \$0.00  | 0   |   | D  |                                       |  |
| Restricted<br>Stock<br>Units  | (1)   | 07/01/2023 |  |        | A                                       |  | 5,000                  |  | (4)                                   |        | (4)                                     | Commo<br>Stock   | 5,000   | )  | \$0.00  | 5,000   |   | D  |                                       |  |
| Employee<br>Stock<br>Option<br>(Rt. to<br>buy)  | (5)   |            |  |        |   |  |                        |  | (6)                                   |        | (6)                                     | Commo<br>Stock   | 0   |  |   | 20,000 <sup>(</sup>   | (7)   | D  |                                       |  |
| Explanatio  | •   | onses:     |  |        |   |  |                        |  |                                       |        |   |  |   |  |   |   |   |  |                                       |  |

2. These shares are held in the Sue Ann R. Hamilton Trust Account. Ms. Hamilton disclaims ownership of the shares held by the Trust.

3. These restricted stock units are one-fourth of the total granted on July 1, 2022, which original grant was awarded as director compensation and generally vests and were paid quarterly over a period ending July 1, 2023.

4. Restricted stock units awarded on July 1, 2023 as director compensation and generally vests and are paid quarterly over a period ending July 1, 2024.

5. Exercise Price Determined in accordance with the terms of the Company's applicable Stock Incentive Plan.

6. The Exercisable and Expiration Dates were reported at the time the Stock Options were granted.

7. This figure represents an aggregate number of stock options held by Reporting Person.

**Remarks:** 

/s/SueAnn R Hamilton, by Valerie J. Ballard, pursuant to Limited Power of Attorney dated November 12, 2019

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{*}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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