

| OMB APPROVAL | |
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

| | | |
|---|--|--|
| 1. Name and Address of Reporting Person* <u>Carnifax Richard K</u> (Last) (First) (Middle) 15147 N SCOTTSDALE RD STE H300 (Street) SCOTTSDALE AZ 85254 (City) (State) (Zip) | 2. Issuer Name and Ticker or Trading Symbol <u>UNIVERSAL ELECTRONICS INC [UEIC]</u> | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <u>S.V.P. Global Operations</u> |
| | 3. Date of Earliest Transaction (Month/Day/Year) 11/09/2024 | |
| 4. If Amendment, Date of Original Filed (Month/Day/Year) | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|------------|---|--|--|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Stock | 11/09/2024 | | M | | 673 | A | (1) | 7,938 | D | |
| Common Stock | 11/09/2024 | | F | | 281(2) | D | \$10.25(3) | 7,657 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----|--|-----------------|---|--|--|---|--|-------|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | | | | | | Title |
| Restricted Stock Units | (1) | 11/09/2024 | | M | | 673 | | (4) | (4) | Common Stock | 673 | \$0 | 19,298 | D | |
| Employee Stock Option (Rt to Buy) | (5) | | | | | | | (6) | (6) | Common Stock | 0 | | 18,465(7) | D | |
| Performance Stock Units | (8) | | | | | | | (9) | (9) | Common Stock | 0 | | 14,001(10) | D | |

Explanation of Responses:

- Each restricted stock unit represents a contingent right to receive one share of UEI common stock.
- This transaction represents a withholding of shares to cover taxes applicable to a vesting of RSUs also reported on this Form 4.
- Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.
- The restricted stock units vest in accordance with the vesting schedule of each RSU Grant.
- Exercise Price Determined in accordance with the terms of the Company's applicable Stock Incentive Plan.
- The Exercisable and Expiration Dates were reported at the time the Stock Options were granted.
- This figure represents an aggregate number of stock options held by Reporting Person.
- Each performance stock unit represents a contingent right to receive one share of UEI common stock.
- The performance stock units vest in accordance with the vesting schedule of each PSU Grant.
- This figure represents an aggregate number of performance stock units held by Reporting Person.

Remarks:

/s/Richard K. Carnifax, by
Bryan Allison, pursuant to
Limited Power of Attorney,
dated May 7, 2024 11/12/2024

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.